

## 44 Palis conjecture

### 44.1 Stability conditions<sup>456</sup>

As we have seen Axiom A alone is not enough to guarantee the structural stability. Thus, Smale conjectured that:

Axiom A + no-cycle condition implies  $C^1$ - $\Omega$ -stability.<sup>457</sup>

This was proved by Smale (for diffeos) and by Pugh & Shub (for flows). Another conjecture is

Axiom A + strong transversality implies  $C^1$ -stability.

This was proved by Robbin and Robinson.

As in the case of Peixoto's theorem to show the necessity is much harder: For a system to be stable the above mentioned conditions are needed. Mañé proved the necessity for diffeomorphisms.<sup>458</sup> Hayashi showed that the  $C^1$ -dynamical system case: a  $C^1$ -dynamical system must be hyperbolic to be stable<sup>459</sup> A high point in Hayashi's work is his connecting lemma<sup>460</sup> creating homoclinic orbits by  $C^1$ -small perturbations of flow or diffeomorphism an unstable manifold accumulating on some stable one can be  $C^1$ -perturbed to make it intersect one another (the creation of homoclinic or heteroclinic orbits).

We usually claim that a phenomenon of a system relevant to natural science must be structurally stable, because science demands reproducibility. If we stick to this 'dictum,' we have only to study 'nice' Axiom A systems. However, we already know if the system dimension is not too low (1 for diffeo and 2 for flow as Peixoto showed and horseshoes cap.), we have something else as an open set. The members of such an open set is structurally unstable: we have 'stable structural instability.' There must be corresponding natural phenomena.

### 44.2 Palis' global conjecture on metric stability of attractors

**I:** There is a  $C^r$ -dense set  $D$  of dynamical systems such that any element of  $D$  has finitely many attractors whose union of basins of attraction has total probability.

**II:** The attractors of the elements in  $D$  support a physical measure.

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<sup>456</sup>Hayashi 1997; J Palis, A GLOBAL VIEW OF DYNAMICS AND A CONJECTURE ON THE DENSENESS OF FINITUDE OF ATTRACTORS

<sup>457</sup>That is, the nonwandering sets are preserved.

<sup>458</sup>R Mañé, A proof of the  $C^1$ -stability conjecture, Publ Math IHES 66 161 (1988).

<sup>459</sup>S Hayashi Connecting invariant manifolds and the solution of the  $C^1$  stability and  $\Omega$ -stability conjectures for flows, Annals of Math 145 81 (1997).

<sup>460</sup>This is explained in his review

**III:** These properties are metrically stable (i.e., in  $D$  any  $k$ -parameter small  $C^r$ -perturbation preserves the dynamics).

**IV:** The attractors are stochastically stable. (Against noise)

**V:** For 1D maps the attractors are either sinks or abs cont measures.<sup>461</sup>

### 44.3 Palis conjecture 1993<sup>462</sup>

1. Every  $C^r$ -diffeo of a compact mfd  $M$  can be  $C^r$ -approximated by one of the following:

- (a) a hyperbolic system (Axiom A with strong transversality)
- (b) a system with heterodimensional cycle
- (c) a system exhibiting a homoclinic tangency.

2. If  $M$  is 2D then (a) or (c) occurs (in other words, Palis conjectured that avoiding homoclinic bifurcation, the generalized Peixotos picture can be recovered).

For  $r = 1$  Pujas and Sabarino proved 2.<sup>463</sup>, 1 is still open.

for  $r \geq 2$  both are wide open. For  $r \geq 2$  this is widely open. even for 2D.

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<sup>461</sup>Lyubitch showed this for quadratic maps.

<sup>462</sup>A. Katok, B. Hasselblatt, Handbook of dynamical systems vol 1A(2005); E. R. Pujals From Peixoto's theorem to Palis's conjecture (2009).

<sup>463</sup>E. Pujals and M. Sambarino, Homoclinic tangencies and hyperbolicity for surface diffeomorphisms, Ann. of Math. 151 (2000), 961-1023.